



COPY OF PAPERS  
ORIGINALLY FILED

Docket No. 3499-097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brixius et al.

Filed: January 11, 2002

Group Art Unit: 2164

Serial No: 10/043,554

Examiner: to be determined

For: METHOD AND SYSTEM FOR VENTURE  
CAPITALIST DISTRIBUTION OF STOCK

RECEIVED

OCT 03 2002

OFFICE OF PETITIONS

Commissioner for Patents  
Washington, D.C. 20231

DECLARATION OF MS. CRISTINA CARBONE UNDER 37 CFR 1.47(a) IN SUPPORT OF  
ACCEPTING A DECLARATION WHEN AN INVENTOR REFUSES TO SIGN

Sir:

1. My name is Cristina Carbone. I am an Executive Assistant at Goldman, Sachs & Co.
2. Mr. James G. Schaad was employed by Goldman, Sachs & Co. and has since left the Company.
3. I have sent numerous letters to Mr. James G. Schaad over the past several months regarding obtaining his signature on the Declaration and Power of Attorney required in connection with this patent application.
4. On March 18, 2002, I sent a memorandum to Mr. Schaad and the 3 other inventors enclosing the Declaration and Power of Attorney and Assignment for this patent application. A copy of the memorandum and Declaration and Power of Attorney is attached as Exhibit A.
5. On May 20, 2002, I resent a copy of the May 20, 2002 memorandum with a "2<sup>nd</sup> notice" written on the top right corner along with a small note on the bottom addressed to Mr. Schaad. A copy of the memorandum is attached as Exhibit B.
6. In June 2002, I received the executed Assignment from Mr. Schaad thus indicating that he received at least one of the prior letters. On June 17, 2002, I sent a memorandum to Mr. Schaad requesting the Declaration and Power of Attorney. A copy of the memorandum is attached as Exhibit C.
7. To the best of my knowledge, all letters were sent to the correct address and none were returned as non-deliverable.
8. Although diligent efforts were made to obtain Mr. Schaad's signature, it is clear that Mr. Schaad refuses to sign the Declaration and Power of Attorney.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: August 8, 2002

Cristina Carbone

Cristina Carbone